

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re Patent Application of

YOUNG HOON ROH

Application No. 09/869,799

I.A. Filing Date: 12/30/1999

Atty. Dkt. No. LEE-02

For: CAPTION MP3 PLAYER HAVING A  
CAPTION DISPLAY FUNCTION,  
CAPTION MP3 DATA FORMAT AND  
METHOD OF REPRODUCING CAPTION  
MP3 DATA

Box PCT  
Commissioner for Patents  
U.S. Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE DO/EO/US

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (copy enclosed), submitted herewith is the executed Declaration for the above-identified application.

Also enclosed is a check in the amount of \$40.00 for the additional claims fee. Applicant claims small entity status (37 CFR 1.27). A copy of the Change of Correspondence Address submitted to the USPTO on November 15, 2001 is also attached.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1768

01/30/2002 SHAWARD 00000117 501768 09869799

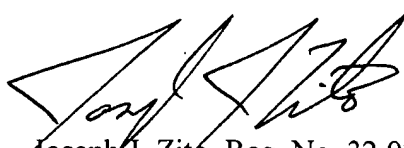
Repln. Ref: 03/04/2002 BCAMPBEL 0016570500  
DAN: 501768 Name/Number: 09869799  
FC: 704 \$40.00 CR

Adjustment Date: 03/04/2002 BCAMPBEL 09869799  
01/30/2002 SHAWARD 00000117 501768 -40.00 DP  
01 FC: 965 44.00 CR

03/16/2002 BCAMPBEL 00000011 501768 09869799  
01 FC: 965 42.00 CH

Application No. 09/869,799

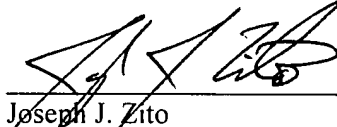
Respectfully submitted,



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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box PCT, Commissioner for Patents, U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22202 on

Jan 16, 2002  
220  
RW

  
Joseph J. Zito

1-15-02  
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

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 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869,799	Young Hoon Roh	FPE-99005

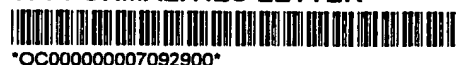
INTERNATIONAL APPLICATION NO.
PCT/KR99/00838

I.A. FILING DATE	PRIORITY DATE
12/30/1999	01/08/1999

Josef J Zito  
 Schnader Harrison Segal & Lewis  
 1300 I Street N W 11th Fl East  
 Washington, DC 20005-3314

CONFIRMATION NO. 1274

371 FORMALITIES LETTER



Date Mailed: 11/20/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the

D  
1.20-02

provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$40 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$40 for a Small Entity:

- Total additional claim fee(s) for this application is \$40
  - \$40 for 2 independent claims over 3.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/869,799	PCT/KR99/00838	FPE-99005